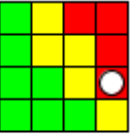

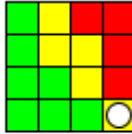

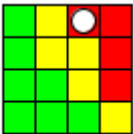
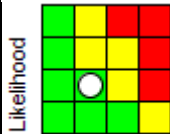



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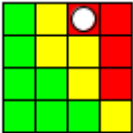
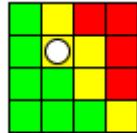

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR11 Hampstead Heath Ponds - overtopping leading to dam failure 05-Feb-2015 Sue Ireland; Paul Monaghan	<p>Cause: The earth dams on Hampstead Heath are vulnerable to erosion caused by overtopping</p> <p>Event: Severe rainfall event which causes erosion which results in breach, leading to failure of one or more dams</p> <p>Impact: Loss of life within the downstream community and disruption to property and infrastructure - including Kings Cross station and the Royal Free Hospital. A major emergency response would need to be initiated by Camden Council and the police at a time when they are likely to already be dealing with significant surface water flooding. Damage to downstream buildings and infrastructure would result in significant re-build costs. The City's reputation would be damaged. An inquiry and legal action could be launched against the City.</p> <p>The Ponds Project has been initiated to mitigate this risk as the current interim mitigations of telemetry, weather monitoring, an on-site emergency action plan do not address the issue of the dam's vulnerability to overtopping</p>	 <p>Likelihood</p>  <p>Impact</p>	16	<p>The engineering works to both chains of ponds is progressing well with approx 50% of engineering works completed. The Vale of Health pond and the Viaduct pond are complete from an engineering perspective and stock pond is almost complete. The design of the project is such that all the works are interdependent upon each other and hence the current risk score will not reduce until all the works are complete.</p> <p>22 Apr 2016</p>	 <p>Likelihood</p>  <p>Impact</p>	8	31-Oct-2016	↔ No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR11 a Project Director to review budget monthly with Project Board - specific consideration of use of risk contingency	Regular monitoring of budget and risk provisions	Works well under way some elements delayed but still to be completed to contract programme – forecast still within current budget	Paul Monaghan	18-Apr-2016	31-Oct-2016
CR11 b Agreement of methods of working with utilities	Agreement of methods of working with utilities	Identifying utilities in order to negotiate new wayleaves, needs to be in conjunction with routes across the Heath.	Paul Monaghan	15-Apr-2016	01-Mar-2017
CR11 c Site supervision by DBE and OS to ensure appropriate H&S procedures	Regular review of H&S and working practices - in particular movement of vehicles	Regular meetings continue to take place	Paul Monaghan	18-Apr-2016	31-Oct-2016
CR11 d Liaison Officer to engage proactively through site notices, media, electronic communications, PPSG and CWG	Liaison Officer role defined by planning conditions in respect of CWG, but will undertake broader community engagement role as previously	Liaison officer continuing all the activities and the CWG continues to meet and receiving some positive feedback.	Paul Monaghan	18-Apr-2016	31-Oct-2016
CR11 f Daily ecological monitoring by BAM and Heath staff to	As per planning consent and conditions	Daily water quality and dust monitoring undertaken. Data published and issued monthly to CWG.	Paul Monaghan	07-Jan-2016	31-Oct-2016

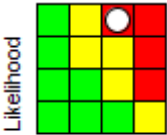
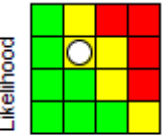

check for nesting birds					
CR11 g Weekly site meetings to secure clear communication between OS, DBE and BAM	To secure clear understand of impact on the Heath, resolution of any issues, discussion of complaints	Continuing consultation with all stakeholders. Complaints log discussed at CWG	Paul Monaghan	07-Jan-2016	31-Oct-2016
CR11 h Resolution of issues with adjoining land owners	There are 4 different adjoining landowners who the City is engaging with. The land ownership will be resolved according to the specifics of each case - via transfer, access agreements or registration as co-undertakers with the EA.	Working towards agreement for revised Planning application, completed land exchange and works can proceed without further consents	Paul Monaghan	15-Apr-2016	30-Jun-2016
CR11 i Approval of designs for Highgate 1	The design approved for Highgate No. 1 impacts on another landowner. Discussions as to an acceptable alternative have been progressing. Any change will require planning permission.	Awaiting approval of revised Planning Application.	Paul Monaghan	15-Apr-2016	30-Jun-2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR19 IT Service Provision 14-Jul-2015 Simon Woods	Cause: The whole Police IT Estate and parts of the Corporation are in need of further investment. Event: For the Corporation, poor performance of IT Service and for the Police critical failure of the Police IT Service. Effect: Loss of communications or operational effectiveness (may also lead to low staff morale). Possible failure of critical Corporation and Policing activities. Reputational damage.	 Likelihood Impact	16	This risk remains red but this will be reviewed following completion of the Police server migration to the Infrastructure as a Service (IaaS). Progress against the transition plan is measured regularly to ensure the risk continues to reduce towards the target status of GREEN by 31 December 2016. Deployment of devices under the Joint End User Device Renewal project is now underway. 23 May 2016	 Likelihood Impact	4	31-Dec-2016	 No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR19b JOINT Network refresh programme.	Joint network refresh programme to resolve issues around network resilience and ensure we have diverse routes for network traffic, avoiding single points of failure.	Gateway 4/5 report approved.	Simon Woods	18-Apr-2016	31-Dec-2016
CR19c JOINT End User Device Renewal	Investment in any retained IT infrastructure to ensure that this meets the same standards of resilience and continuity as delivered by the IaaS infrastructure.	Deployment of devices is now underway, 50 issued to date.	Simon Woods	23-May-2016	31-Dec-2016
CR19d CoLP Investment in any retained IT infrastructure	Investment in any retained IT infrastructure to ensure that this meets the same standards of resilience and continuity as delivered by the IaaS infrastructure	Quotes received and options being explored.	Simon Woods	18-Apr-2016	31-Dec-2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR20 Road Safety 23-Oct-2015 Carolyn Dwyer	Cause: Limited space on the City's medieval road network to cope with the increased use of the highway by vehicles and pedestrians / cyclists within the City of London. Interventions & legal processes take time to deliver Event: The number of casualties occurring in the City rises instead of reducing. Effect: The City's reputation and credibility is adversely impacted with businesses and/or the public considering that the Corporation is not taking sufficient action to protect vulnerable road users; adverse coverage on national and local media	Likelihood  Impact	16	Road Danger Reduction Joint Action Plan for 2016/17 has been agreed with the City of London Police and approved by Committee. Additional modelling in connection with the Interim Bank Junction redesign will result in 4 months slippage. The Target date for risk reduction has been revised accordingly. 09 May 2016	Likelihood  Impact	6	30-Apr-2017	 No change

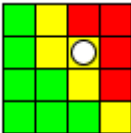
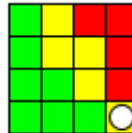

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR20a Joint Safer Transport Team	Implement a joint City of London Corporation & City of London Police Road Safety/Safer Transport Team	The business case for colocation is being reassessed. It is expected that there will be a decision about relocation by the end of July and the due date has been revised accordingly.	Steve Presland	09-May-2016	31-Jul-2016
CR20b Permanent Bank Junction redesign	Permanent Bank Junction redesign	Still on track	Steve Presland	09-May-2016	30-Nov-2018
CR20c Interim Bank Junction redesign	Working with TfL to explore and, where practicable, deliver short term design/operational improvements to Bank Junction	Additional modelling to enable us to reach agreement with TfL will result in 4 months slippage. It is anticipated that a report to proceed to implementation will be presented by December this year with implementation by the end of April 2017. The due date has been revised accordingly.	Steve Presland	09-May-2016	30-Apr-2017
CR20d Road Safety Communications Strategy	Work with the Corporation's Communications Office to deliver a Road Safety Communications Strategy	Currently awaiting resource recruitment and allocation from the Corporate Communications Team	Steve Presland	09-May-2016	30-Nov-2016
CR20e City Contracts	Explore embedding vehicle and driver safety in all City of London Corporation contracts	Following comments from CLPS we will be reporting to Committee in the summer regarding potential impact on contract costs.	Steve Presland	09-May-2016	30-Sep-2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR21 Air Quality 07-Oct-2015 Jon Avern	<p>Cause: Small particulate pollution has chronic health impacts from long term exposure at very low concentrations and is in evidence within the City and central London. There is also a health impact associated with long term and short term exposure to nitrogen dioxide.</p> <p>Event: Under certain atmospheric conditions there is a higher probability of poor air quality within the City and it is more likely that residents, workers and visitors would suffer the acute consequences.</p> <p>Effect: The consequences both acute and chronic may include: An increase in hospital referrals placed upon both</p>	 Likelihood	16	This risk relating to air quality is regularly reviewed in line with all statutory obligations imposed by the Environment Act 1995. 18 Apr 2016	 Likelihood	6	31-Dec-2020	 No change

emergency services and the NHS for those already suffering from respiratory or cardiovascular conditions (it may also place a strain on City social services). An increase in deaths, particularly of those already suffering from respiratory or cardiovascular conditions (both residents and workers). Economic costs such as acting as a deterrent of businesses coming to London or staying and financial penalties for non-compliance with air quality limits. Persistent poor air quality may affect the longer term health of the City population. Persistent poor air quality may attract adverse media coverage making the City seem a less attractive place to live and work.						
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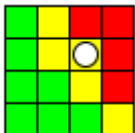
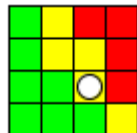
Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR21 001a Implement policies	Implement the policies contained in the City of London Air Quality Strategy 2015-2020. The strategy contains 10 policy areas with 60 specific actions. An annual report will be produced demonstrating progress with each action.	Actions within the 5 year strategy on track. Annual report to be submitted to the GLA detailing exact progress by August 2016	Jon Avern	11-Apr-2016	31-Aug-2016
CR21 001b Review Air Quality	Review and assess air quality in line with statutory obligations of the Environment Act 1995. Submit all relevant statutory reports. Approval of all reports by Defra and the GLA will demonstrate compliance with statutory obligations.	The timetable for submitting the report under the new arrangements for LLAQM has changed. The 2016 report will therefore be submitted by August 2016	Jon Avern	11-Apr-2016	31-Aug-2016
CR21 001c Become an Exemplar Borough	Ensure the City Corporation becomes a Mayor of London Exemplar Borough for air quality.	the City is taking all relevant action required by the GLA to become an exemplar borough but the scheme hasn't been officially launched yet	Jon Avern	11-Apr-2016	29-Dec-2017
CR21 001d Develop communication s strategy.	Develop and implement a robust communications strategy to ensure people have sufficient information to reduce their exposure on days of 'high' air pollution.	Preliminary work completed on relevant messages to use. bid submitted for funding to support comms. Ongoing work with City businesses including business health workshop. Working on version 2 of CityAir App - have over 14000 active users	Jon Avern	11-Apr-2016	30-Jun-2016

CR21 001e Develop plan	Develop and implement a plan for reducing the impact of diesel vehicles on air pollution in the Square Mile. This is to complement the work being undertaken by the Mayor of London to reduce air pollution in the central zone through the implementation of the Ultra Low Emission Zone.	baseline work completed with Policy Exchange on range of options available. Submitted bid for funding for Low Emission neighbourhood. Obtained £100,000 funding from the Mayors Air Quality Fund to look into this further	Jon Avern	11-Apr-2016	31-Dec-2018
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Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR01 Resilience Risk 20-Mar-2015 John Barradell	Cause - Lack of appropriate planning, leadership and coordination Event - Emergency situation related to terrorism or other serious event/major incident is not managed effectively Effect - Major disruption to City business, failure to support the community, assist in business recovery. Reputational damage to the City as a place to do business.	 Likelihood	12 Impact	This was risk re rated re-rated in February 2016 from amber 8 to amber 12. This change was made following a review of the robustness Corporation's own business continuity plans (BCP) and the IT network assumptions on which some actions in the BCPs are based. The risk has been reviewed and the risk score of 12 (amber) is confirmed. 18 Apr 2016	 Likelihood	8	31-Mar-2017	 No change

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR01A Emergency Exercise	Full exercise (Allovis) to test the emergency and business continuity plans across the organisation. The exercise will involve the emergency services	This action is now complete	Gary Locker	29-Jan-2016	11-Jun-2015
CR01B Corporate review of Business Continuity	Prepare and complete a report for the Summit Group, based on the findings of a review of departmental business continuity planning	this action is now complete	Gary Locker	29-Jan-2016	30-Nov-2015

planning					
CR01C Exercise Unified Response	Large scale multi-agency exercise which will test the CoL's Borough Emergency Co-ordination Centre (BECC)	Currently, the resilience team are participating on behalf of the organisation in a major, multi-agency exercise 'Unified Response'. The exercise has now been completed. The learning from the exercise will be fed back and applied to the City of London Corporation's business continuity planning processes.	Gary Locker	18-Apr-2016	01-Jun-2016
CR01D Elimination of single points of failure, resulting in loss of services	Working with the IS division, remove potential single points of failure from business continuity processes.	Currently waiting for feedback from the IS division regarding testing on changes to the VPN infrastructure that will ensure that the network will be available virtually in the event of a systems outage in Guildhall	Gary Locker	03-May-2016	01-Dec-2016

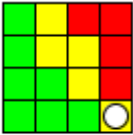
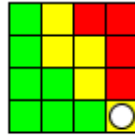
Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR09 Health and Safety Risk 22-Sep-2014 Chrissie Morgan	<p>Cause - Safety is treated as a low priority by the organisation, lack of training of staff and managers, management complacency, poor supervision and management</p> <p>Event - Statutory regulations and internal procedures relating to Health and Safety breached and/or not complied with.</p> <p>Effect - Possible enforcement action/ fine/prosecution by HSE, Employees/visitors/contractors may be harmed/injured, Possible civil insurance claim, Costs to the Corporation, Adverse publicity /damage to reputation, Rectification costs</p>	 <p>Likelihood</p> <p>Impact</p>	12	<p>This risk was reviewed by the SMT on 28/03/16, no change to the assessment at this time. The external accreditation exercise by the British Safety Council Resulted in the City of London Corporation being awarded a 4 star status.</p> <p>18 Apr 2016</p>	 <p>Likelihood</p> <p>Impact</p>	8	31-Mar-2017	<p>↔</p> <p>No change</p>

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR09A External Verification	External verification of the CoL's safety management system	British Safety Council have awarded 4 star status. This action is now closed	Oliver Sanandres	18-Apr-2016	29-Feb-2016
CR09B Compliance Audits	Rolling programme of departmental compliance audits conducted by the Corporate Health and Safety Unit	Audits currently on-going. The 2016-17 programme will commence from April 2016	Oliver Sanandres	18-Apr-2016	31-Mar-2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR16 Information Security 22-Sep-2014 Simon Woods	<p>Cause: Breach of IT Systems resulting in unauthorised access to data by internal or external sources. Officer/ Member mishandling of information.</p> <p>Event: Cybersecurity attack - unauthorised access to COL IT systems. Loss or mishandling of personal or commercial information.</p> <p>Effect: Failure of all or part of the IT Infrastructure, with associated business systems failures. Harm to individuals, a breach of legislation such as the Data Protection Act 1988. Incur a monetary penalty of up to £500,000. Compliance enforcement action. Corruption of data. Reputational damage to Corporation as effective body.</p>	 <p>Likelihood</p> <p>Impact</p>	12	<p>Paper outlining wider approach to information governance and security approved by Summit Group in April 2016. Recommended engagement with Chief Officers commenced in April 2016 to develop a programme of activity spanning policy agreement, information asset register and owners, and further work to be done with departments. (Risk score changed from 6 to 12 in April 2016)</p> <p>23 May 2016</p>	 <p>Likelihood</p> <p>Impact</p>	4	31-Dec-2016	 Increase

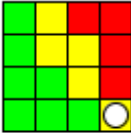
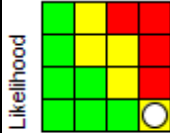

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR16b Review and strengthen Data Retention, Management and Ownership.	For all major systems establish data owner and retention policy for information therein.	Paper outlining wider approach to information governance and security approved by Summit Group in April 2016. Recommended engagement with Chief Officers commenced in April 2016 to develop a programme of activity spanning policy agreement, information asset register and owners, and further work to be done with departments.	Christine Brown	29-Apr-2016	31-Dec-2016

Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR02A Special Representative of the City to the EU	Appointment of former Foreign Office Minister, Jeremy Browne, to new position to enhance our engagement with EU policy makers.	EU Engagement Programme now in place - 6 months programme of visiting all EU Member States.	Giles French	14-Jan-2016	01-Sep-2015
CR02B Restructure of the team working on financial and professional services	City, EU and International Affairs teams have been restructured into City Competitiveness and Regulatory Affairs teams to remove geographical boundaries and provide greater policy focus to work. Job descriptions have been reviewed for same purpose.	This action is now complete	Giles French	08-Oct-2015	01-Sep-2015
CR02C UK Referendum on membership of the EU	City Corporation providing opportunities for informing the debate on the EU Referendum, and representing the views of the financial and professional services sector	Programme of activity in place and additional opportunities being sought with trade associations and think tanks. Sponsorship of independent fact checking organisation Full Fact	Damian Nussbaum	22-Apr-2016	23-Jun-2016

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR10 Adverse Political Developments	<p>Cause: Financial services issues that make the City Corporation vulnerable to political criticism; local government devolution proposals that call into question the justification for the separate administration of the Square Mile.</p> <p>Event: Functions of City Corporation and boundaries of the City adversely affected.</p> <p>Impact: Controversy over reforms which damages the City's reputation as a place to do business. The future of the City of London Corporation as an independent body could be undermined.</p>	<p>Likelihood</p>  <p>Impact</p>	8	There has been close engagement with those responsible for formulating proposals to enable the devolution of responsibilities while safeguarding the City. The developing domestic political situation is being given close consideration. Constant attention is given to the form of legislation affecting the City. Continues promotion of the good work of the City Corporation among opinion formers particularly in Parliament and Central Government so that the City Corporation is seen to remain relevant and "doing a good job" for London and the nation. The Office also	<p>Likelihood</p>  <p>Impact</p>	8		↔

22-Sep-2014 Paul Double				provides advice on the City Corporation's approach to important political developments including the EU referendum and the London Mayoral Election. 24 May 2016				No change
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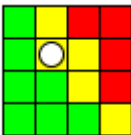
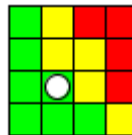
Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR10a monitoring legislation	Monitoring of Government legislation and proposed regulatory changes.	Relevant Bills in the Government's legislative programme have been identified and City Corporation departments alerted to issues of potential significance.	Paul Double	23-May-2016	31-Mar-2017
CR10b Provision of information	Provision of information to Parliament and Government on issues of importance to the City.	Briefing has been provided for parliamentary debates on air quality, immigration, housing, planning, the creative industry, trade and investment, apprenticeships, economic crime, Fintech and broadband.	Paul Double	23-May-2016	31-Mar-2017
CR10c Stakeholder engagement	Engagement with key opinion informers in Parliament and elsewhere. Programme of work to monitor and respond to issues affecting the reputation of the City Corporation.	There has been continuing engagement on devolution in London and liaison with London Councils and Central London Forward on the application of devolution to the London boroughs and the City, either directly from Central Government or the Mayor.	Paul Double	23-May-2016	31-Mar-2017

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR17 Safeguarding 22-Sep-2014 Ade Adetosoye	Cause: Not providing appropriate training to staff, not providing effective management and supervision, poor case management Event: Failure to deliver actions under the City of London' safeguarding policy. Social workers and other staff not taking appropriate action if notified of a safeguarding issue Effect: Physical or mental harm suffered by a child or adult at risk, damage to the City of London's reputation, possible legal action, investigation by CQC and or Ofsted	Likelihood  Impact	8	Work is still ongoing to raise awareness of safeguarding and the quarterly meetings of the Safeguarding Champions continue. 18 Apr 2016	Likelihood  Impact	8	31-Mar-2017	 No change

Action no, Title,	Description	Latest Note		Managed By	Latest Note Date	Due Date
CR17b Work with HR to develop training and programmes to support staff	Develop safeguarding e-learning modules and enable staff to access advice and assistance	The majority of staff have undertaken the e-learning modules. Outstanding training will be completed by end of December to include new staff that have joined the Department. This training has been added to the list of Mandatory training for DCCS staff		Chris Pelham	25-Nov-2015	31-Dec-2015
CR17c Safeguarding Awareness Sessions for DCCS Staff	3 raising awareness sessions will be delivered to Community and Children's Services staff. These sessions will cover updated Child Sexual Exploitation and Children Missing from home, Education and or Care protocols and referral process which have been updated and circulated to all professionals. A Multi Agency Sexual Exploitation group is now fully functioning.	Completed - All sessions have now been delivered to staff.		Chris Pelham	20-Aug-2015	31-Jul-2015
CR17d Raising awareness of Private Fostering, role of Local Authority Designated Officer	A Multi Agency Briefing Event will be held with over 60 partners attending to launch the new referral process, to highlight the role of the Local Authority Designated Officer and raise awareness Private Fostering and the City of London Thresholds document.	Completed - the briefing session took place on 6 July 2015. Partners welcomed the event and feedback was positive.		Chris Pelham	20-Aug-2015	30-Sep-2015

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CR17e Prevent agenda - new guidance	New guidance on the Prevent agenda is being circulated to the City family of schools including the City of London Academies. A leaflet has been produced for parents and carers regarding the Prevent agenda.	Completed - this work has now been completed and the new guidance on the Prevent agenda has been sent to the City of London Family of Schools and the new leaflet has been circulated to parents and carers.	Chris Pelham	20-Aug-2015	10-Jul-2015
CR17f Review of City of London Safeguarding Policy	A review of the City of London Safeguarding Policy will be undertaken with the involvement of the Departmental Safeguarding Champions	Completed - revised policy agreed at Safeguarding sub committee and launched at Safeguarding Champions meeting in December	Chris Pelham	18-Jan-2016	31-Dec-2015
CR17g Preparation for Inspection of Children's Services and Ofsted Inspection Framework	Work is ongoing to prepare for an Ofsted Inspection of Children's Services. Concerns have been raised by The Society of Local Authority Chief Executives (SOLACE), Local Government Association (GLA) and Association of Directors of Children's Services (ADCS) about the current Ofsted inspection framework regarding the lack of flexibility and understanding of local demographics and service needs. No Local Authority has been assessed as outstanding since the inspection framework was revised almost 2 years ago.	Completed - All appropriate staff and partners have completed the awareness sessions regarding the Thresholds of Needs document	Chris Pelham	18-Apr-2016	31-Mar-2016
CR17h Evaluation of Notice the Signs – awareness raising campaign	Evaluation of Notice the Signs – awareness raising campaign	Completed. An evaluation of the Notice the Signs campaign was presented to the City of London Safeguarding sub-committee of the Community and Children's Services Committee stating the campaign's impact has been significant and resulted in increased numbers of safeguarding alerts	Chris Pelham	25-Nov-2015	31-Oct-2015
CR17i New London wide Adults Safeguarding Procedures agreed	Procedures to be formally adapted and training provided	Completed - New London wide Adult Safeguarding Procedures have been formally adopted. Training has been provided to appropriate staff and forms for use on the Social Care information system are now available.	Chris Pelham	18-Apr-2016	31-Mar-2016
CR17j Promoting role of Local Authority Designated Officer (LADO)	Raising awareness of the LADO role with Members and partners	Completed - referrals to the LADO have increased as a result of the work to highlight the role of the LADO. An external facing email is now available to make reporting easier. Training on safer recruitment has been provided to staff and partners via the City and Hackney Safeguarding Children Board. Guidance has been reviewed and updated.	Chris Pelham	18-Apr-2016	31-Mar-2016

CR17k Review role of Safeguarding Champions	The role of Safeguarding Champions to be reviewed and to consider if Domestic Violence can be added to the role	The City of London Domestic Abuse Co-ordinator now attends the Safeguarding Champions group. An update on the effectiveness of the Safeguarding champions has been provided to the Director of Open Spaces	Chris Pelham	23-May-2016	30-Jun-2016
CR17l Online Adult Safeguarding Training	Online basic Adult Safeguarding training will be mandatory for DCCS staff	Online basic Adult Safeguarding training will be mandatory for DCCS staff. A suitable product will be identified and will be added to the online learning resource	Chris Pelham	18-Apr-2016	31-Dec-2016
CR17m Raise Awareness of financial abuse and scams	The Adult Social Care Team will be working with the City of London Police to raise the profile of financial abuse and scams	Actions will be identified to raise the profile of financial abuse and scams and the risks presented especially to older people living in the square mile.	Chris Pelham	23-May-2016	31-Mar-2017

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR14 Funding Reduction	Cause: Reduced funding from Central Government. Event: Reduced funding available to the City Corporation and City of London Police. Effect: City Corporation will be unable to maintain a balanced budget and healthy reserves in City Fund, significantly impacting on service delivery levels and reputation.	 Likelihood	6	The recent indication of a downturn in public finances underlines the importance of continuing to deliver the Service Based Review savings and of looking for further opportunities to secure improvements in efficiency through continuous improvement in the way the Corporation operates and organises itself. These ideas will feed into an Efficiency Plan to be developed over the next few months and published as part of the deal to get certainty over local government grant over the next four years. For the Police Fund, the increase in the Business Rate Premium from April 2016 covers £1.6m of additional cost pressures relating to security, however, the underlying financial position remains challenging with forecast deficits in 2017/18 and 2018/19 respectively to be addressed.	 Likelihood	4	31-Mar-2017	↔

22-Jun-2015 Peter Kane				09 May 2016				No change
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Action no, Title,	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR14b SBR implementation – Departmental Savings and cross-cutting reviews.	SBR proposal implementation within Departments and with cross cutting workstreams to identify further efficiencies in strategic asset management, income generation, and reviews of grants and hospitality. Scrutiny by the Officer Strategic Resources Group and Efficiency and Performance Sub-Committee.	SBR proposal implementation within Departments and with cross cutting workstreams to identify further efficiencies in strategic asset management, income generation, and reviews of grants and hospitality. Scrutiny by the Officer Strategic Resources Group and Efficiency and Performance Sub-Committee.	Caroline Al-Beyerty	23-May-2016	31-Mar-2017
CR14h Develop Efficiency Plan	Efficiency Plan to be developed and approved by Court of Common Council which sets out a framework that would incorporate continuous improvement savings and a rolling review programme to secure more radical changes in efficiency and effectiveness.	Efficiency Plan discussed at April Strategic Resources Group and April Summit Group. Report to Finance Committee in May.	Peter Kane	09-May-2016	14-Oct-2016
CR14i Develop strategy to address projected Police deficits	City Police is forecasting deficits in 2017/18 and 2018/19 which need to be addressed.	CoL Police is initiating an efficiency programme to address the shortfall. Business Rate devolution may also potentially plug this gap when government grant funding is forecast to be removed completely from 19/20 , however detailed modelling is required to evaluate impact.	Caroline Al-Beyerty	23-May-2016	31-Mar-2017